UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ASC-063
First Named Inventor	Currie
Title	METHODS FOR PRESERVING STRAINED SEMICONDUCTOR SUBSTRATE LAYERS DURING CMOS PROCESSING

	APPLICATION ELEMENTS	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	10001		
1. 🛛	Fee Transmittal Form	000	₹		
2.	Small Entity Status Applicant claims small entity status Status established in prior application and is still proper and desired	Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ACCOMPANYING APPLICATION PARTS			
3. 🔯	Specification and Drawings [Total Pages 25] - Written Description - (15 pages) - Claims - (5 pages)	8. A 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney			
•	- Abstract - (1 page) - Sheets of Drawings - (4 sheets)	9. English Translation Document (if applicable)			
	☐ Formal ☑ Informal	10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations			
4. 🖾	Oath or Declaration [Total Pages 3] a. Newly executed (original) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	11. Preliminary Amendment Drawings [Total Sheets]			
	[Note Box 5 below]	12. Return Receipt Postcard (specifically itemized)			
5. 🗌	Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied	13. Certified Copy of Priority Document(s) (if foreign priority claimed)	,		
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		14. Nonpublication Request Under 35 U.S.C. 122(b)			
6. 🛛	Application Data Sheet	15. CD in duplicate for large table or computer program			
7.	Nucleotide and/or Amino Acid Sequence Submission Computer Readable Form (CRF) Paper Copy (identical to computer copy) CD (2 copies) (identical to computer copy) Statement verifying identity of above copies	16. Other:			
17. 🔲	If a CONTINUING APPLICATION: Amend the speci	ification by inserting on page 1, before the first line, the sentence:			
This is a continuation divisional continuation-in-part of prior application Serial No/, filed on the					
entire disclosure of which is incorporated by reference herein Priority to the above application(s) is claimed under 35 U.S.C. 120. Prior application information: Examiner: Group/Art Unit:					
18. Priority - 35 U.S.C. 119 Priority of application Serial No. 60/422,468 filed on October 30, 2002 is claimed under 35 U.S.C. 119. The certified copy has been filed in prior U.S. application Serial No/ on The certified copy will follow.					
	CORRESPONDENCE ADDRESS	SIGNATURE BLOCK			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP		Respectfully submitted,			
	High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 Customer No. 021323	Date: October 30, 2003 Reg. No. 50,773 Tel. No.: (617) 248-7453 Fax No.: (617) 248-7100 Mark L. Beloborodov Attorney for Applicants Testa, Huavitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110			

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FEE TRANSMITTAL FY 2004

	Complete if Known
Application Serial Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Currie
Group Art Unit	Not yet assigned
Examiner Name Not applicable	
Attorney Docket No.	ASC-063

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. Payment Enclosed:	3. ADDITIONAL FEES			
☐ Check ☐ Money Order ☐ Other	Large	Small		
	Entity	Entity		
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description Fee Paid	
or charge any fee indicated below for this submission to Deposit Account No. 20-0531.	(\$)	(\$)		
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath	
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee	
1.17.			or cover sheet	
Overpayment Credit.	130	130	Non-English specification	
3. Applicant claims small entity status.	2,520	2,520	Request for ex parte reexamination	
FEE CALCULATION	110	55	Extension for reply within first month	
1. FILING FEE	420	210	Extension for reply within second month	
Large Entity	950	475	Extension for reply within third month	
Fee (\$) Fee Description Fee Paid	1480	740	Extension for reply within fourth	
	2010	1005	month Extension for reply within fifth month	
770 Utility filing fee 770.00	330	165	Notice of Appeal	
340 Design filing fee	330	165	Filing a brief in support of an appeal	
160 Provisional filing fee	290	145	Request for oral hearing	
	130	130	Petitions to the Commissioner	
	180	180	Submission of Information Disclosure	
Number Number Rate Amount			Statement	
Filed Extra	770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
Total Claims 50 $-20 = 30$ x \$ 18.00 = 540.00	770	385	For each additional invention to be	
Independent	100	100	examined (37 CFR 1.129(b)) Certificate of Correction for	
Claims 2 $-3 = 0$ x \$ 86.00 =	100	100	applicant's error	
	110	55	Submission of Terminal Disclaimer	
☐ Multiple Dependent Claim(s), if any \$290.00 =	Other fee (Sp			
	Other fee (Sp	ecify)		
TOTAL: 1,310 SMALL ENTITY DISCOUNT:				
SUBTOTAL (1) (\$) 1,310.00				
2. AMENDMENT CLAIM FEES				
Claims Highest No. Present Rate Fee Paid			SUBTOTAL (3) (\$) 0.00	
Remaining Previously Extra			(3) 0.00	
After Amend. Paid For				
Total - = x \$ 18.00 =			SUBTOTAL (1) 1,310.00	
Indep = x \$ 86.00 =			SUBTOTAL (1) 1,510.00 SUBTOTAL (2) 0.00	
First Presentation of Multiple Dep. + \$290.00 =			SUBTOTAL (3) 0.00	
Claim TOTAL: (\$)0.00			55215.12(5)	
SMALL ENTITY DISCOUNT: (\$)0.00				
SUBTOTAL (2) (\$)0.00			TOTAL (\$) 1,310.00	
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK			
Direct all correspondence to:	Respectfully submitted,			
Patent Administrator			Acopecially submitted,	
Testa, Hurwitz & Thibeault, LLP	Date: Octob			
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2702767 (vor. 070/02)			D031011, 191A 02110	